#### FQUIVALENCE WITH PREVIOUS VERSIONS

This version of the neurostatus scoring guidelines is fully compatible with previous versions. Additional help is provided by clarifying some definitions and by introducing an ambulation score in order to reduce measurement noise. But these changes do not imply changes in scoring levels.

#### GENERAL GUIDELINES

To ensure unbiased EDSS assessment in controlled clinical trials, the EDSS rater should not inquire about the patients' condition except as necessary to perform the EDSS assessment. Patients must be observed to walk the required distance.

The functional system and EDSS scores should reflect the MS related deficits only. In case of doubt the examining physician should assume a relation to MS.

Temporary signs or symptoms that are not due to multiple sclerosis, e.g. temporal immobilisation after fracture of one limb, as well as permanent signs or symptoms that are not due to multiple sclerosis, e.g leg amputation after accident, will not be taken into consideration when assessing the FS scores and EDSS steps, but need to be noted in neurostatus and commented by adding "P" next to the respective field on the scoring sheet for permanent findings and "T" for temporary findings.

#### FUNCTIONAL SYSTEMS (FS)

A neurostatus score "signs only" is noted when the examination reveals signs of which the patient is unaware.

A score of 1 in a Functional System implies that the patient is not aware of the deficit and that the deficit or sign does not interfere with normal daily activities. However, this general rule does not apply to the Visual, Bowel/Bladder and Cerebral FS.

#### EXPANDED DISABILITY STATUS SCALE (EDSS)

The EDSS step should not be lower than the score of any individual FS, with the exception of the Visual and Bowel/Bladder FS before conversion.

EDSS steps from 0 up to 4.0 should not change compared to the previous examination, unless there is a change by one grade in at least one FS score.

EDSS steps from 0 up to 1.5 can only apply if ambulation is "unrestricted".

EDSS steps from 2.0 up to 5.0 are defined by the Functional System (FS) scores and/or walking range restriction. As an example, EDSS step 5.0 is possible with an unrestricted ambulation. EDSS steps from 2.0 up to 4.0 does only apply in individuals when at least "fully ambulatory" (able to walk  $\geq$ 500 meters). If ambulation is assessed as "restricted" the pyramidal or cerebellar FS must be  $\geq$ 2.

EDSS steps  $\geq 5.5$  are exclusively defined by the ability to ambulate, the assistance required or the use of a wheelchair.

# 1 VISUAL (OPTIC) FUNCTIONS

#### VISUAL ACUITY

The visual acuity score is based on the line in the Snellen chart at 20 feet (5 meters) for which the patient makes no more than one error, using best available correction. Alternatively, best corrected near vision can be assessed, but this should be noted and consistently performed during follow-up examinations. Switching from near to distance visual acuity measurements should be avoided in follow-up examinations.

#### VISUAL FIELDS

- 0 normal
- 1 signs only: deficits present only on formal (confrontational) testing
- 2 moderate: patient aware of deficit, but incomplete hemianopsia on examination
- 3 marked: complete homonymous hemianopsia or equivalent

#### **SCOTOMA**

- 0 none
- 1 small: detectable only on formal (confrontational) testing
- 2 large: spontaneously reported by patient

#### \* DISC PALLOR

0 not present

1 present

#### NOTE

When determining the EDSS step, the Visual FS score must be converted to a lower score as follows:

Visual FS Score	6	5	4	3	2	1
Converted Visual FS Score	4	3	3	2	2	1

#### FUNCTIONAL SYSTEM SCORE

#### 0 normal

- 1 disc pallor and/or small scotoma and/or visual acuity (corrected) of worse eye less than 20/20 (1.0) but better than 20/30 (0.67)
- worse eye with maximal visual acuity (corrected) of 20/30 to 20/59 (0.67–0.34)
- worse eye with large scotoma and/or moderate decrease in fields and/or maximal visual acuity (corrected) of 20/60 to 20/99 (0.33–0.21)
- 4 worse eye with marked decrease of fields and/or maximal visual acuity (corrected) of 20/100 to 20/200 (0.2–0.1);
  - grade 3 plus maximal acuity of better eye of 20/60 (0.33) or less
- 5 worse eye with maximal visual acuity (corrected) less than 20/200 (0.1); grade 4 plus maximal acuity of better eye of 20/60 (0.33) or less
- 6 grade 5 plus maximal visual acuity of better eye of 20/60 (0.33) or less

<sup>\* =</sup> optional part of the examination.

# 2 BRAINSTEM FUNCTIONS

#### EXTRAOCULAR MOVEMENTS (EOM) IMPAIRMENT

- 0 none
- signs only: subtle and barely clinically detectable EOM weakness, patient does not complain of blurry vision, diplopia or discomfort
- 2 mild: subtle and barely clinically detectable EOM weakness of which patient is aware; or obvious incomplete paralysis of any eye movement of which patient is not aware
- 3 moderate: obvious incomplete paralysis of any eye movement of which patient is aware; or complete loss of movement in one direction of gaze in either eye
- 4 marked: complete loss of movement in more than one direction of gaze in either eye

#### NYSTAGMUS

- 0 none
- signs only or mild: gaze evoked nystagmus below the limits of "moderate" (equivalent to a Brainstem FS score of 1)
- 2 moderate: sustained nystagmus on horizontal or vertical gaze at 30 degrees, but not in primary position, patient may or may not be aware of the disturbance
- 3 severe: sustained nystagmus in primary position or coarse persistent nystagmus in any direction that interferes with visual acuity; complete internuclear ophthalmoplegia with sustained nystagmus of the abducting eye; oscillopsia

#### TRIGEMINAL DAMAGE

- 0 none
- l signs only
- 2 mild: clinically detectable numbness of which patient is aware
- 3 moderate: impaired discrimination of sharp/dull in one, two or three trigeminal branches; trigeminal neuralgia (at least one attack in the last 24 hours)
- 4 marked: unable to discriminate between sharp/dull or complete loss of sensation in entire distribution of one or both trigeminal nerves

#### FACIAL WEAKNESS

- 0 none
- 1 signs only
- 2 mild: clinically detectable facial weakness of which patient is aware
- 3 moderate: incomplete facial palsy, such as weakness of eye closure that requires patching overnight or weakness of mouth closure that results in drooling
- 4 marked: complete unilateral or bilateral facial palsy with lagophthalmus or difficulty with liquids

#### **HEARING LOSS**

- 0 none
- signs only: hears finger rub less in one or both sides and has lateralized Weber test but does not complain of any hearing problem
- 2 mild: as in 1 but is aware of hearing problem
- 3 moderate: does not hear finger rub on one or both sides, misses several whispered numbers
- 4 marked: misses all or nearly all whispered numbers

#### DYSARTHRIA

- 0 none
- 1 signs only
- 2 mild: clinically detectable dysarthria of which patient is aware
- 3 moderate: obv. dysarthria during ordinary conversation that impairs comprehensibility
- 4 marked: incomprehensible speech
- inability to speak

#### DYSPHAGIA

- 0 none
- 1 signs only
- 2 mild: difficulty with thin liquids
- 3 moderate: difficulty with liquids and solid food
- 4 marked: sustained difficulty with swallowing; requires a pureed diet
- 5 inability to swallow

#### OTHER CRANIAL NERVE FUNCTIONS

- 0 normal
- 1 signs only
- 2 mild disability: clinically detectable deficit of which patient is usually aware
- 3 moderate disability
- 4 marked disability

- 0 normal
- 1 signs only
- 2 moderate nystagmus and/or moderate EOM impairment and/or other mild disability
- 3 severe nystagmus and/or marked EOM impairment and/or moderate disability of other cranial nerves
- 4 marked dysarthria and/or other marked disability
- inability to swallow or speak

# PYRAMIDAL FUNCTIONS

#### **REFLEXES**

Cutaneous Reflexes 0 absent

diminished normal 2 normal weak 3 exaggerated absent

nonsustained clonus

(a few heats of clonus) \* Palmomental Reflex

sustained clonus absent present

Plantar Response

0 flexor

neutral or equivocal

extensor

#### LIMB STRENGTH

The weakest muscle in each group defines the score for that muscle group. Use of optional functional tests (hopping on one foot and walking on heels/toes), is highly recommended in order to assess BMRC grades 3-5.

#### BMRC RATING SCALE

no muscle contraction detected

- visible contraction without visible joint movement
- 2 visible movement only on the plane of gravity
- 3 active movement against gravity, but not against resistance
- 4 active movement against resistance, but not full strength
- 5 normal strength

#### **FUNCTIONAL TESTS**

- \* Pronator Drift (upper extremities) Pronation and downward drift:
- none
- mild
- 2 evident
- \* Position Test (lower extremities ask patient to lift both legs together, with legs fully extended at the knee) Sinking:
- 0 none
- mild
- 2 evident
- able to lift only one leg at a time (grade from the horizontal pos. at the hip joints...°)
- unable to lift one leg at a time

#### \* Walking on heels/toes

normal normal impaired 6-10 times 2 1-5 times not possible

not possible

# \* Hopping on one foot

# $\cap$

- mild: barely increased muscle tone 1
- moderate: moderately increased muscle tone that can be overcome and full range of motion is possible

LIMB SPASTICITY (AFTER RAPID FLEXION OF THE EXTREMITY)

- severe: severely increased muscle tone that is extremely difficult to overcome and full range of motion is not possible
- contracted

#### **GAIT SPASTICITY**

- barely perceptible
- 2 evident: minor interference with function
- 3 permanent shuffling: major interference with function

#### OVERALL MOTOR PERFORMANCE

- 0 normal
- abnormal weakness (as compared to peers) in performing more demanding tasks, e.g. when walking longer distances, but no reduction in limb strength on formal (confrontational) testing
- Reduction in strength of individual muscle groups at confrontational testing

- 0 normal
- abnormal signs without disability
- minimal disability: patient complains of motor-fatigability or reduced performance in strenuous motor tasks (motor performance grade 1) and/or BMRC grade 4 in one or two muscle groups
- mild to moderate paraparesis or hemiparesis: usually BMRC grade 4 in more than two muscle groups:
  - and/or BMRC grade 3 in one or two muscle groups (movements against gravity are possible):
  - and/or severe monoparesis: BMRC grade 2 or less in one muscle group
- marked paraparesis or hemiparesis: usually BMRC grade 2 in two limbs or monoplegia with BMRC grade 0 or 1 in one limb:
- and/or moderate tetraparesis: BMRC grade 3 in three or more limbs
- paraplegia: BMRC grade 0 or 1 in all muscle groups of the lower limbs; and/or marked tetraparesis: BMRC grade 2 or less in three or more limbs; and/or hemiplegia:
- tetraplegia: BMRC grade 0 or 1 in all muscle groups of the upper and lower limbs

# 4 CEREBELLAR FUNCTIONS

#### **HEAD TREMOR**

- 0 none
- 1 mild
- 2 moderate
- 3 severe

#### TRUNCAL ATAXIA

- 0 none
- 1 signs only
- 2 mild: swaying with eyes closed
- 3 moderate: swaying with eyes open
- 4 severe: unable to sit without assistance

#### LIMB ATAXIA (TREMOR/DYSMETRIA AND RAPID ALTERNATING MOVEMENTS)

- 0 none
- 1 signs only
- 2 mild: tremor or clumsy movements easily seen, minor interference with function
- 3 moderate: tremor or clumsy movements interfere with function in all spheres
- 4 severe: most functions are very difficult

#### TANDEM (STRAIGHT LINE) WALKING

- 0 normal
- 1 impaired
- 2 not possible

#### **GAIT ATAXIA**

- 0 none
- 1 signs only
- 2 mild: problems with balance realized by patient and/or significant other
- 3 moderate: abnormal balance with ordinary walking
- 4 severe: unable to walk more than a few steps unassisted or requires a walking aid or assistance by another person because of ataxia

#### ROMBERG TEST

- 0 normal
- 1 mild: mild instability with eyes closed
- 2 moderate: not stable with eyes closed
- severe: not stable with eyes open

#### OTHER CEREBELLAR TESTS

- 0 normal
- 1 mild abnormality
- 2 moderate abnormality
- 3 severe abnormality

#### NOTE

The presence of severe gait and/or truncal ataxia alone (without severe ataxia in three or four limbs) results in a Cerebellar FS score of 3.

If weakness or sensory deficits interfere with the testing of ataxia, score the patient's actual performance. To indicate the possible role of weakness make an "X" after the affected subsystems and Cerebellar FS score.

- 0 normal
- 1 abnormal signs without disability
- 2 mild ataxia and/or moderate station ataxia (Romberg) and/or tandem walking not possible
- moderate limb ataxia and/or moderate or severe gait/truncal ataxia
- 4 severe gait/truncal ataxia and severe ataxia in three or four limbs
- 5 unable to perform coordinated movements due to ataxia
- X pyramidal weakness (BMRC grade 3 or worse in limb strength) or sensory deficits interfere with cerebellar testing

# 5 SENSORY FUNCTIONS

#### SUPERFICIAL SENSATION (LIGHT TOUCH AND PAIN)

- 0 normal
- signs only: slightly diminished sensation (temperature, figure-writing) on formal testing of which patient is not aware
- 2 mild: patient is aware of impaired light touch or pain, but is able to discriminate sharp/dull
- 3 moderate: impaired discrimination of sharp/dull
- 4 marked: unable to discriminate between sharp/dull and/or unable to feel light touch
- 5 complete loss: anaesthesia

#### VIBRATION SENSE (AT THE MOST DISTAL JOINT)

- 0 normal
- 1 mild: graded tuning fork 5-7 of 8; alternatively, detects more than 10 seconds but less than the examiner
- 2 moderate: graded tuning fork 1–4 of 8; alternatively, detects between 2 and 10 sec.
- 3 marked: complete loss of vibration sense

#### POSITION SENSE

- 0 normal
- 1 mild: 1-2 incorrect responses, only distal joints affected
- 2 moderate: misses many movements of fingers or toes; proximal joints affected
- 3 marked: no perception of movement, astasia

#### \* LHERMITTE'S SIGN

Does not contribute to the Sensory FS score

- 0 negative
- 1 positive

#### \* PARAESTHESIAE (TINGLING)

Does not contribute to the Sensory FS score

- 0 none
- 1 present

- 0 normal
- 1 mild vibration or figure-writing or temperature decrease only in one or two limbs
- 2 mild decrease in touch or pain or position sense or moderate decrease in vibration in one or two limbs:
  - and/or mild vibration or figure-writing or temperature decrease alone in more than two limbs
- 3 moderate decrease in touch or pain or position sense or marked reduction of vibration in one or two limbs;
  - and/or mild decrease in touch or pain or moderate decrease in all proprioceptive tests in more than two limbs
- 4 marked decrease in touch or pain in one or two limbs; and/or moderate decrease in touch or pain and/or marked reduction of proprioception in more than two limbs
- 5 loss (essentially) of sensation in one or two limbs; and/or moderate decrease in touch or pain and/or marked reduction of proprioception for most of the body below the head
- 6 sensation essentially lost below the head

# 6 BOWEL AND BLADDER FUNCTIONS

#### URINARY HESITANCY AND RETENTION

- 0 none
- 1 mild: no major impact on lifestyle
- 2 moderate: urinary retention; frequent urinary tract infections
- 3 severe: requires catheterisation
- 4 loss of function: overflow incontinence

#### URINARY URGENCY AND INCONTINENCE

- 0 none
- 1 mild: no major impact on lifestyle
- 2 moderate: rare incontinence occurring no more than once a week; must wear pads
- 3 severe: frequent incontinence occurring from several times a week to more than once a day; must wear urinal or pads
- 4 loss of function: loss of bladder control

#### **BLADDER CATHETERISATION**

- 0 none
- 1 intermittent self-catheterisation
- 2 constant catheterisation

#### **BOWEL DYSFUNCTION**

- 0 none
- mild: no incontinence, no major impact on lifestyle, mild constipation
- 2 moderate: must wear pads or alter lifestyle to be near lavatory
- severe: in need of enemata or manual measures to evacuate bowels
- 4 complete loss of function

#### \*SEXUAL DYSFUNCTION

#### Male

- 0 none
- 1 mild: difficulty to maintain erection during intercourse, but achieves erection and still has intercourse
- 2 moderate: difficulty to achieve erection, decrease in libido, still has intercourse and reaches orgasm
- 3 severe: marked decrease in libido, inability to achieve full erection, intercourse with difficulty and hypoorgasmia
- 4 loss of function

#### Female

- 0 none
- 1 mild: mild lack of lubrication, still sexually active and reaches orgasm
- 2 moderate: dysparunia, hypoorgasmia, decrease in sexual activity
- 3 severe: marked decrease in sexual activity, anorgasmia
- 4 loss of function

#### NOTE

When determining the EDSS step, the Bowel and Bladder FS score must be converted to a lower score as follows:

Bowel and Bladder FS Score	6	5	4	3	2	1
Converted Bowel and Bladder FS Score	5	4	3	3	2	- 1

Sexual dysfunction can be documented but in general does not impact on FS score because of obvious difficulties in assessment by examining physician

- 0 normal
- mild urinary hesitancy, urgency and/or constipation
- 2 moderate urinary hesitancy/retention and/or moderate urinary urgency/incontinence and/or moderate bowel disfunction
- 3 frequent urinary incontinence or intermittent self-catheterisation; needs enemata or manual measures to evacuate bowels
- 4 in need of almost constant catheterisation
- loss of bladder or bowel function; external or indwelling catheter
- 6 loss of bowel and bladder function

# 7 CEREBRAL FUNCTIONS

#### ° DEPRESSION AND EUPHORIA

- O none
- 1 present: Patient complains of depression or is considered depressed or euphoric by the investigator or significant other.
- Oppression and Euphoria are documented on the scoring sheet but are not taken into consideration for FS and EDSS calculation.

#### DECREASE IN MENTATION

- 0 none
- signs only: not apparent to patient and/or significant other
- 2 mild: Patient and/or significant other report mild changes in mentation. Examples include: impaired ability to follow a rapid course of association and in surveying complex matters; impaired judgement in certain demanding situations; capable of handling routine daily activities, but unable to tolerate additional stressors; intermittently symptomatic even to normal levels of stress; reduced performance; tendency toward negligence due to obliviousness or fatigue.
- 3 moderate: definite abnormalities on brief mental status testing, but still oriented to person, place and time
- 4 marked: not oriented in one or two spheres (person, place or time), marked effect on lifestyle
- 5 dementia, confusion and/or complete disorientation

#### +FATIGUE

- 0 none
- 1 mild: does not usually interfere with daily activities
- 2 moderate: interferes, but does not limit daily activities for more than 50 %
- 3 severe: significant limitation in daily activities (> 50 % reduction)
- \*Because fatigue is difficult to evaluate objectively, in some studies it does not contribute to the Cerebral FS score or EDSS step. Please adhere to the study's specific instructions.

### FUNCTIONAL SYSTEM SCORE

- 0 norma
- 1 signs only in decrease in mentation; mild fatigue
- 2 mild decrease in mentation; moderate or severe fatigue
- 3 moderate decrease in mentation.
- 4 marked decrease in mentation
- 5 dementia

# 8 AMBULATION

Unrestricted ambulation means the patient is able to walk a distance without assistance that is regarded as normal, compared with healthy individuals of similar age and physical condition. In this case the EDSS step can be anything between 0 and 5.0, depending on the FS scores.

Fully ambulatory means at least 500 meters of ambulation without assistance, but not unrestricted. The EDSS step can be anything between 2.0 and 5.0, depending on the FS scores. In this case, the pyramidal and/or cerebellar FS must be  $\geq 2$  to reflect this "restriction" of ambulation.

If ambulation is < 500 meters, the EDSS step must be  $\ge 4.5$  depending on the walking ranges provided by the ambulation score (see next page) and combination of FS scores. EDSS steps 5.5 to 8.0 are exclusively defined by the ability to ambulate and type of assistance required, or the ability to use a wheelchair.

If assistance is needed, the definitions of EDSS steps 6.0 or 6.5 include both a description of the type of assistance required when walking and the walking range. Assistance by another person is equivalent to bilateral assistance.

#### NOTE

The ambulation score represents both a description of walking range and the type of assistance required for ambulation. The score replaces the former use of several checkboxes (paragraph 8 ambulation on the scoring sheet) but does NOT introduce new definitions. The use of wheelchair can now be scored on the scoring sheet.

Please indicate the reported distance and time for the patient in the appropriate field on the scoring sheet, followed by the type of assistance and the walking distance measured during the assessment.

#### DISTANCE AND TIME REPORTED BY PATIENT

Maximal unassisted walking distance reported by patient (in meters) without rest or assistance and time required to walk max. distance according to patient (in minutes)

#### **ASSISTANCE**

- 0 Without help or assistance (allowing the use of an ankle foot orthotic device, without any other type of assistive device)
- 1 Unilateral assistance: one stick/crutch/brace
- Bilateral assistance: two sticks/crutches/braces or assistance by another person
- 3 Wheelchair

#### DISTANCE

Measure the distance the patient is able to walk im meters.

Unassisted: observe the patient walking unassisted for a minimum distance of 500 meters and measure the time needed, if possible.

Assisted: observe the patient walking with the assistive device or help by another person for a minimum distance of 130 meters, if possible.

# AMBULATION SCORE

- 0 Unrestricted
- 1 Fully ambulatory
- $\geq$  300 meters, but < 500 meters, without help or assistance (EDSS 4.5 or 5.0)
- $\geq$  200 meters, but < 300 meters, without help or assistance (EDSS 5.0)
- 4  $\geq$  100 meters, but < 200 meters, without help or assistance (EDSS 5.5)
- 5 Walking range < 100 meters without assistance (EDSS 6.0)
- 6 unilateral assistance,  $\geq$  50 meters (EDSS 6.0)
- 7 bilateral assistance, ≥ 120 meters (EDSS 6.0)
- 8 unilateral assistance, < 50 meters (EDSS 6.5)
- 9 bilateral assistance,  $\geq$  5 meters, but < 120 meters (EDSS 6.5)
- 10 Uses wheelchair without help; unable to walk 5 meters even with aid, essentially restricted to wheelchair; wheels self and transfers alone; up and about in wheelchair some 12 hours a day (EDSS 7.0)
- 11 Uses wheelchair with help; unable to take more than a few steps; restricted to wheelchair; may need some help in transferring and in wheeling self (EDSS 7.5)
- 12 essentially restricted to bed or chair or perambulated in wheelchair, but out of bed most of day; retains many self-care functions; generally has effective use of arms (EDSS 8.0)

#### EXPANDED DISABILITY STATUS SCALE

- 0 normal neurological exam (all FS grade 0)
- 1.0 no disability, minimal signs in one FS (one FS grade 1)
- 1.5 no disability, minimal signs in more than one FS (more than one FS grade 1)
- 2.0 minimal disability in one FS (one FS grade 2, others 0 or 1)
- 2.5 minimal disability in two FS (two FS grade 2, others 0 or 1)
- 3.0 moderate disability in one FS (one FS grade 3, others 0 or 1) though fully ambulatory; or mild disability in three or four FS (three/four FS grade 2, others 0 or 1) though fully ambulatory
- 3.5 fully ambulatory but with moderate disability in one FS (one FS grade 3) and mild disability in one or two FS (one/two FS grade 2) and others 0 or 1; or fully ambulatory with two FS grade 3 (others 0 or 1); or fully ambulatory with five FS grade 2 (others 0 or 1)
- 4.0 ambulatory without aid or rest for ≥500 meters; up and about some 12 hours a day despite relatively severe disability consisting of one FS grade 4 (others 0 or 1) or combinations of lesser grades exceeding limits of previous steps
- 4.5 ambulatory without aid or rest for ≥300 meters; up and about much of the day, characterised by relatively severe disability usually consisting of one FS grade 4 and combination of lesser grades exceeding limits of previous steps
- 5.0 ambulatory without aid or rest for ≥200 meters (usual FS equivalents include at least one FS grade 5, or combinations of lesser grades usually exceeding specifications for step 4.5)
- 5.5 ambulatory without aid or rest for ≥100 meters
- 6.0 unilateral assistance (cane or crutch) required to walk at least 100 meters with or without resting (see chapter 8, Ambulation)
- 6.5 constant bilateral assistance (canes or crutches) required to walk at least 20 meters without resting (see chapter 8, Ambulation)
- 7.0 unable to walk 5 meters even with aid, essentially restricted to wheelchair; wheels self and transfers alone; up and about in wheelchair some 12 hours a day
- 7.5 unable to take more than a few steps; restricted to wheelchair; may need some help in transferring and in wheeling self
- 8.0 essentially restricted to bed or chair or perambulated in wheelchair, but out of bed most of day; retains many self-care functions; generally has effective use of arms
- 8.5 essentially restricted to bed much of the day; has some effective use of arm(s); retains some self-care functions
- 9.0 helpless bed patient; can communicate and eat
- 9.5 totally helpless bed patient; unable to communicate effectively or eat/swallow
- 10 death due to MS